

Docket No. 283698US2PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhide OUCHI, et al.

SERIAL NO: 10/562,799

GAU:

FILED: December 29, 2005

EXAMINER:

FOR: OPTICAL RECEPTION DEVICE AND OPTICAL RECEPTION METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT		ATTY DOCKET NO. 283698US2PCT		SERIAL NO. 10/562,799	
				APPLICANT Kazuhide OUCHI, et al.			
				FILING DATE December 29, 2005		GROUP	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	2003-163637	06/06/03	JP	YES	NO	
	AP	2003-018023	01/17/03	JP	YES	NO	
	AQ	08-008989	01/12/96	JP (equivalent of US5621764)	YES	NO	
	AR	2002-330104	11/15/02	JP (English abstract only)	YES	NO	
	AS				YES	NO	
	AT				YES	NO	
	AU				YES	NO	
	AV				YES	NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/562,799

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STATEMENT OF RELEVANCY

- 1) References AO - AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [REDACTED] have been cited in the corresponding [REDACTED] Search Report. A copy of these references is being submitted herewith.
- 3) Reference AR is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References [REDACTED] are additional prior art known to Applicant. A copy of these references is being submitted herewith.